	Practitioner's Docket No. 1101-161 PATENT						
QE JC,	IN THE UNITED STATES PATENT AND TRADEMARK OFFICE						
27200	Patent application						
and wo	Inventor(s)						
SATENT & TRAD	Title of invention						
	the specification of which is being transmitted herewith						
	OR						
	In re application of: SORKIN, Felix L.						
•	Application No.:10 /622,145 Group No.: 3752  Filed: July 18, 2003 Examiner:  For: TENDON RECEIVING DUCT FOR A MONOSTRAND BONDED POST- TENSION SYSTEM						
•	Assistant Commissioner for Patents Washington, D.C. 20231						
	INFORMATION DISCLOSURE STATEMENT						
	CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10* (When using Express Mail, the Express Mail label number is mandatory;  Express Mail certification is optional.)						
	I hereby certify that, on the date shown below, this correspondence is being:						
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•	deposited with the United States Postal Service in an envelope addressed to the Assistant Commissione for Patents, Washington, D.C. 20231  37 C.F.R. § 1.8(a)  37 C.F.R. § 1.10*						
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Signature

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

\*WARNING: Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label

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John S. Egbert

(type or print name of person certifying)

(Information Disclosure Statement [6-1]—page 1 of \_\_\_\_\_)

Date: 10-24-03

- NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:
  - (1) Within three months of the filing date of a national application;
  - (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; or
  - (3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. § 1.97(b).
- NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).
- NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).

### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

		, , ,					
1.	X	Preliminary Statements					
2.	X	FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)					
3.		Statement as to Information Not Found in Patents or Publications					
4.		Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted					
5.		Cumulative Patents or Publications					
6.	X	Copies of Listed Information Items Accompanying This Statement					
7.		Concise Explanation of Non-English Language Listed Information Items					
		7A.   EPO Search Report					
		7B.   English Language Version of EPO Search Report					
8.		Translation(s) of Non-English Language Documents					
9.	M	Concise Explanation of English Language Listed Information Items (Optional)					
10.	$\mathbf{x}$	Identification of Person(s) Making This Information Disclosure Statement					

	(complete the following, if appropriate)
Sections NOTE:	, respectively, have been continued on ADDED PAGE(S). "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

(Information Disclosure Statement [6-1]—page 2 of \_\_\_\_\_)

#### Section 1. Preliminary statements

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Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

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PTO/SB/08A (10-96)
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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

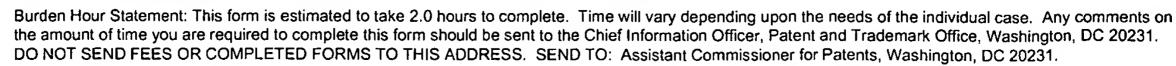
Complete if Kn wn				
Application Number	10/622,145			
Filing Date	July 18, 2003			
First Named Inventor	SORKIN, Felix L.			
Group Art Unit	3752	7.		
Examiner Name				
Attorney Docket Number	1101-161			

				U.S. PATENT DOCU	JMENTS			
Examiner Initials	Cite No.1			U.S. Patent	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,550,816		Sorkin	04-22-2003			
		D400,670		Sorkin	11-03-1998			
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	Ī
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Ţ
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Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.





<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## Section 6. Copi s f Listed Inf rmation Items Accompanying This Statem nt

NOTE: 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . "

NOTE: The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)
 Exception(s) to above:
 Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
 Cumulative patents or publications identified in Section 5.

# Section . Concis Explanation f English Language Listed Information Items (OPTI NAL)

NOTE: "Applicants may, If they wish, provide a concise explanation of why English-language information is being submitted and how it is understood to be relevant. Concise explanations are helpful to the Office, particularly where documents are lengthy and complex and applicant is aware of a section that is highly relevant to patentability or where a large number of documents are submitted and applicant is aware that one or more are highly relevant to patentability." Notice of April 20, 1992 (1138 O.G. 37-41, 38).

U.S. Patent No. 6,550,816, issued on April 22, 2003 to Sorkin, teaches a grout vent for a tendon-receiving duct having a tubular fitting with an interior passageway, a nut secured around an exterior surface of the tubular fitting, and a seal extending around the tubular fitting and residing against a surface of the nut. The tubular fitting has a connector at an end thereof for engaging an interior surface of the duct. The tubular fitting extends through a hole formed in a corrugation of the duct so that a shoulder of the connector will abut an interior surface of a wall of the duct. The nut is threadedly engaged with an exterior surface of the tubular fitting so as to exert a compressive force against the seal. A grout line extends through the interior passageway of the tubular fitting so as to allow grout to be injected into an interior passageway of the duct.

U.S. Design Patent No. D400,670, issued on November 3, 1998 to Sorkin, claims the ornamental design for a tendon-receiving duct.

### Section 10. Identification of Person(s) Making This Inf rmation Discl sure Statement

The person making this statement is					
		(check each	applicable item)		
(a)		the inventor(s) who signs t	pelow		
			SIGNATURE OF INVENTOR		
			(type name of inventor who is signing)		
(b)		an individual associated with cution of this application (3			
			SIGNATURE OF INVENTOR		
		4	(type name of inventor who is signing)		
(c) (	X	the practitioner who signs to the information:	below on the basis of		
		(check each	applicable item)		
		☐ supplied by the in	ventor(s).		
		supplied by an indi	ividual associated with the filing and prosecution . (37 C.F.R. § 1.56(c))		
		in the practitioner	s file.		
Reg. No.:	30,	,627	John S. Egbert		
Tel. No.: (	)	713-224-8080	(type or print name of practitioner) Harrison & Egbert		
Customer N	<b>10.</b> :	24106	P.O. Address Houston, Texas 77002		